



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION III

1650 Arch Street
Philadelphia, Pennsylvania 19103-2029

MAY 12 2014

Mr. Thomas J. French
Deputy Director of Operational Services Administration
Maryland Department of the Environment
1800 Washington Boulevard
Baltimore, Maryland 21230-1718

Re: Close-Out of Assistance Agreement# 2W24000209-3

Dear Mr. French:

In accordance with the final Federal Financial Report submitted October 1, 2012, the referenced Assistance Agreement has been completed and the grant paid in full. The project file will now be closed out.

EPA regulations require that you retain all records for a period of three years following submission of the final expenditure report, with the following qualifications:

If any litigation, claim or audit is started before the expiration date of the three year period, the records shall be retained until all litigations, claims or audit findings involving the records have been resolved.

Records for equipment and real property acquired with EPA grants funds and/or non-federal cost sharing shall be retained for three years after final disposition of such equipment or property.

If you have any questions, please have your staff call Douglas Roberts, Grants Specialist, at (215) 814-5279.

Sincerely,

B. M. Cullagh
for Kathleen M. Blinbury, Chief
Grants and Audit Management Branch

cc: Magdalene Cunningham (3WP50)

Cunningham, Magdalene

From: Cunningham, Magdalene
Sent: Thursday, May 08, 2014 3:00 PM
To: Roberts, Douglas
Subject: RE: REQUEST FOR PROJECT STATUS INFORMATION 2W-240002-09 MD Dept of the Environment

Magdalene Cunningham
Region 3 CWSRF Coordinator
215-814-2338

From: Roberts, Douglas
Sent: Wednesday, May 07, 2014 2:27 PM
To: Cunningham, Magdalene
Subject: FW: REQUEST FOR PROJECT STATUS INFORMATION 2W-240002-09 MD Dept of the Environment

Hi Maggie- This is the last SRF ARRA agreement still open. Can we get this closed, I attached the request for closeout that was first forwarded in October 2012.

Doug Roberts

USEPA Region 3
Grants Specialist
Grants and Audit Management Branch

(215) 814-5279 Work
1650 Arch Street (3PM70)
Philadelphia, PA 19103-2029
roberts.douglas@epa.gov IM

ASSISTANCE AGREEMENT: # 2W-240002-09
NAME OF RECIPIENT: MD Dept of the Environment

The final Federal Financial Report has been received and processed at the Las Vegas Finance Center, and the grant is financially closed.

The Budget period ends December 31, 2012, the agreement must be officially closed. To allow us to close out this agreement in accordance with Agency policy, please complete the following checklist and return, via email to obrien.joan@epa.gov within 15 days of the date of this message.

1. An acceptable final technical/performance report and/or all deliverables have been received. **The final technical/performance report was received on** 9-30-2013. Yes ☒ No ☐

2. I have documented EPA's review of the recipient's performance reports to determine whether the recipient achieved the outputs and outcomes contained in the work plan. *Refer to EPA's environmental results policy (EPA Order 5700.7) at <http://intranet.epa.gov/ogd/policy/order/5700.7.pdf>*
Yes ☒ No ☐ N/A* ☐

*N/A only if no funding recommendation for additional funding for this assistance agreement was sent to the Grant Specialist after 1/1/2005 or if this assistance agreement was a competitive award from a funding announcement issued before 1/2/2005.

3. All programmatic grant conditions have been satisfied. Yes ☒ No ☐

CW & DWSRF CLOSE-OUT CHECKLIST

STATE NAME: MARYLAND - CWSRF

ASSISTANCE NUMBER: 2W-240002-09

DATE OF FINANCIAL STATUS REPORT: 10-4-2012 approved

About the Close-out Process. The close-out process uses a systematic approach to ensure that all program regulations have been complied with, and administrative requirements have been met. Close-out is performed after the *final disbursement* is made on the SRF capitalization grant (zero balance), there is a *final accounting of expenditures*, and the program office has determined that the State has complied with *all program requirements*.

Close-out Certification

(Indicate *yes* or *no* below. Any *no* response must be accompanied by an explanation):

	YES	NO	N/A
(1)	<u>✓</u>	<u>—</u>	<u>—</u>
(2)	<u>✓</u>	<u>—</u>	<u>—</u>
(3)	<u>✓</u>	<u>—</u>	<u>—</u>
a:	<u>✓</u>	<u>—</u>	<u>—</u>
b:	<u>✓</u>	<u>—</u>	<u>—</u>

SECTION I: BASIC REQUIREMENTS

Were annual reports prepared and submitted each year?

Were Intended use plans prepared and submitted?

Were basic program requirements met?

Was there a public review of intended use plan?

Did the State meet the SRF goals and objectives?

SECTION II: PROGRAM REQUIREMENTS -- As a result of the last regional review conducted on, () what was the determination on the following requirements:

	YES	NO	N/A
(1)	<u>—</u>	<u>—</u>	<u>✓</u>
(2)	<u>✓</u>	<u>—</u>	<u>—</u>

Did the State meet the 20% match requirement? no match required on ARRA grants

Did the State enter into binding commitments on an amount equal to 120% of each quarterly payment within one year after the receipt of each quarterly grant payment (CWSRF), or in an amount equal to each quarterly grant payment and accompanying match deposited into the Fund (DWSRF)?

SECTION III: Audit Requirements -- As a result of the most recent audit on (), what was the determination on the following audit requirements:

- | | <u>YES</u> | <u>NO</u> | <u>N/A</u> | |
|-----|------------|-----------|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (1) | <u>✓</u> | <u> </u> | <u> </u> | Was an independent financial audit with opinions on financial statements, reports on internal controls and reports on compliance to program requirements conducted and submitted? |
| (2) | <u>✓</u> | <u> </u> | <u> </u> | Were all audit issues resolved that pertained to the grant? |

Close-out Certification

All administrative and financial requirements for this grant have been met, and I recommend that this grant be closed-out.

WATER PROGRAM OFFICIAL APPROVAL
(Official level as determined by regional management)

<u>SIGNATURE</u>	<u>TITLE</u>	<u>DIVISION/BRANCH</u>	<u>DATE</u>
<u>Magdalene Cunningham</u>	<u>P.O.</u>	<u>WPD-OIA</u>	<u>5-8/2014</u>



Document Review

Compass Document: GO 2W24000209

05/08/14

Document Summary:

[General Ledger Entries](#)

Doc Type: GO
 Doc No: 2W24000209
 Vendor Code: 526002033D V
 IGMS Grant No: 24000209-1
 IGMS Budget Start Date: 10/01/2008
 IGMS Budget End Date: 12/31/2012
 IGMS Project Start Date: 10/01/2008
 IGMS Project End Date: 12/31/2012
 Order Date: 05/08/09
 Closed Date: 09/18/12
 Servicing Finance Office: LVFC
 Order Amount: \$94,784,600.00
 Net Paid Amount: \$94,784,600.00
 Closed Amount: \$94,784,600.00
 Available Amount: \$0.00
 Vendor: MD DEPT OF THE ENVIRONMENT
 Alternate Vendor:
 Description: CONVERSION
 Extended Description:

AIMS FFR Status: 10/04/2012-Final FFR received and processed; grant is financially closed

Document Details: Expand														Extended Descripti	
Line#	Line Amt	Expended Amt	Closed Amt	Refunded Amt	Available Amt	BFY	Fund	Org	Program	Project	FOC	CostOrg	Comments		
1	\$94,784,600.00	\$94,784,600.00	\$94,784,600.00	\$0.00	\$0.00	20092010	E2S	033	202B80ECW	09CA	4111		RQ/0903LL0133		

Document Activity:

Date	Ref Amount	Related Document	Direction	Date	Ref Amount	Related Document	Date	Ref Amount	Related Document
09/17/2012	\$1,829,991.00	DT 12AS718507	Forward						
09/06/2012	\$46,200.00	DT 12AS716374	Forward						
08/20/2012	\$640,485.00	DT 12AS711832	Forward						
08/14/2012	\$204,061.00	DT 12AS709996	Forward						
08/09/2012	\$58,515.00	DT 12AS708939	Forward						
07/23/2012	\$483,069.00	DT 12AS703279	Forward						
07/17/2012	\$73,307.00	DT 12AS701564	Forward						
06/18/2012	\$264,202.00	DT 12AS693040	Forward						
06/14/2012	\$62,846.00	DT 12AS692237	Forward						
06/04/2012	\$268,797.00	DT 12AS688483	Forward						
04/19/2012	\$77,136.31	DT 12AS672977	Forward						
04/10/2012	\$518,490.00	DT 12AS668852	Forward						
03/20/2012	\$67,019.98	DT 12AS0661960	Forward						
03/16/2012	\$720,583.00	DT 12AS0661169	Forward						
03/06/2012	\$19,967.00	DT 12AS0657249	Forward						
02/24/2012	\$641,138.00	DT 12AS0653955	Forward						
02/21/2012	\$146,530.33	DT 12AS0652473	Forward						
02/15/2012	\$812,723.00	DT 12AS0651100	Forward						
02/08/2012	\$40,254.00	DT 12AS0648834	Forward						
02/01/2012	\$430,336.00	DT 12AS0646301	Forward						
01/23/2012	\$101,153.92	DT 12AS0642528	Forward						
01/20/2012	\$496,316.00	DT 12AS0641989	Forward						
01/13/2012	\$229,899.00	DT 12AS0640022	Forward						
01/05/2012	\$2,202,901.00	DT 12AS0636825	Forward						
12/28/2011	\$540,970.00	DT 12AS0634593	Forward						
12/22/2011	\$95,663.73	DT 12AS0633240	Forward						
11/18/2011	\$13,210.42	DT 12AS0622109	Forward						
11/16/2011	\$691,500.00	DT 12AS0621206	Forward						
11/04/2011	\$139,320.00	DT 12AS0617753	Forward						
10/31/2011	\$28,529.00	DT 12AS0615501	Forward						
10/21/2011	\$29,727.00	DT 12AS0612203	Forward						
10/20/2011	\$58,686.82	DT 12AS0611869	Forward						
10/13/2011	\$277,331.00	DT 12AS0610205	Forward						
10/09/2011	\$82,473,741.49	PVC GO2W24000209	Forward						
10/08/2011	\$94,784,600.00	Increase							

Warehouse Homepage

EPA@Work Home | EPA Internet

http://V1742TRTAY003.aa.ad.epa.gov/neis/ifms_web.finance_result

This web page was last updated on 02/05/2014.

For issues, please contact: The OCFO System Help Desk - OCFO-System-Help@epa.gov or (202) 564-OCFO (6236)



Document Review

IFMS Document: GO
2W24000209

05/08/14

Document Summary: General Ledger Entries

Doc Type: GO

Doc No: 2W24000209

Vendor Code: 526002033DV

IGMS Grant No: 24000209-1

IGMS Budget Start Date: 10/01/2008

IGMS Budget End Date: 12/31/2012

IGMS Project Start Date: 10/01/2008

IGMS Project End Date: 12/31/2012

Order Date: 05/08/09

Effective Date: 10/01/08

Closed Date:

Servicing Finance Office: AP33

Order Amount: \$94,784,600.00

Paid Amount: \$82,473,741.49

Available Amount: \$12,310,858.51

Vendor: MD DEPT OF THE ENVIRONMENT

AIMS FFR Status: 10/04/2012-Final FFR received and processed; grant is financially closed

Document Details: **Expand**

Line#	Line Amt	Paid Amt	Available Amt	BFY	Fund	Org	Program	Job	BOC	CostOrg	Comments
001	\$94,784,600.00	\$82,473,741.49	\$12,310,858.51	20092010	E2S	033	202B80ECW	09CA	4111		0903LL0133

Document Activity:

Date	Ref Amount	Related Document	Direction	Date	Ref Amount	Related Document	Date	Ref Amount	Related Document
09/29/11	\$33,052.00	DA 11AS0607045	Forward						
09/23/11	\$14,312.69	DA 11AS0604169	Forward						
09/13/11	\$605,500.00	DA 11AS0600076	Forward						
09/01/11	\$249,335.00	DA 11AS0596477	Forward						
08/24/11	\$270,032.00	DA 11AS0594299	Forward						
08/22/11	\$1,792.17	DA 11AS0593727	Forward						
08/15/11	\$224,573.00	DA 11AS0591712	Forward						
08/08/11	\$66,417.23	DA 11AS0590023	Forward						
08/05/11	\$3,403,533.00	DA 11AS0589712	Forward						
08/01/11	\$388,772.00	DA 11AS0588077	Forward						
07/21/11	\$776,184.00	DA 11AS0585009	Forward						
07/14/11	\$1,263,648.00	DA 11AS0583257	Forward						
06/23/11	\$6,975,906.00	DA 11AS0578145	Forward						
06/21/11	\$10,315.37	DA 11AS0577469	Forward						
06/17/11	\$4,472.00	DA 11AS0576450	Forward						
06/07/11	\$446,187.00	DA 11AS0573165	Forward						
05/20/11	\$17,078.40	DA 11AS0569226	Forward						
05/16/11	\$139,613.00	DA 11AS0567523	Forward						
05/05/11	\$98,257.00	DA 11AS0565044	Forward						
04/25/11	\$1,472,045.00	DA 11AS0561483	Forward						
04/22/11	\$68,474.50	DA 11AS0561235	Forward						
04/18/11	\$529,895.00	DA 11AS0559906	Forward						
04/08/11	\$777,309.00	DA 11AS0556794	Forward						
03/28/11	\$1,283,129.00	DA 11AS0553379	Forward						
03/23/11	\$2,978,420.00	DA 11AS0552303	Forward						
03/21/11	\$42,072.76	DA 11AS0551470	Forward						
03/15/11	\$590,667.00	DA 11AS0549925	Forward						
03/08/11	\$325,674.00	DA 11AS0548125	Forward						

	\$1,612,295.00	DA 10AS0472255	Forward						
05/17/10	\$579,171.00	DA 10AS0471804	Forward						
05/07/10	\$249,196.00	DA 10AS0469569	Forward						
05/05/10	\$320,696.00	DA 10AS0468870	Forward						
04/29/10	\$867,851.00	DA 10AS0467563	Forward						
04/21/10	\$53,147.33	DA 10AS0465413	Forward						
04/12/10	\$124,659.00	DA 10AS0462190	Forward						
04/02/10	\$385,899.00	DA 10AS0460132	Forward						
04/01/10	\$101,091.00	DA 10AS0459814	Forward						
03/25/10	\$1,125,415.00	DA 10AS0458061	Forward						
03/19/10	\$20,655.36	DA 10AS0456798	Forward						
03/16/10	\$164,093.00	DA 10AS0455851	Forward						
03/10/10	\$942,326.00	DA 10AS0454299	Forward						
03/05/10	\$101,267.00	DA 10AS0453230	Forward						
02/25/10	\$2,514,097.00	DA 10AS0451068	Forward						
02/25/10	\$11,904.00	DA 10AS0451067	Forward						
02/19/10	\$10,018.00	DA 10AS0449595	Forward						
02/11/10	\$360,607.00	DA 10AS0447913	Forward						
02/04/10	\$597,491.00	DA 10AS0446301	Forward						
01/27/10	\$456,122.00	DA 10AS0444223	Forward						
01/25/10	\$66,004.03	DA 10AS0443515	Forward						
Date	Ref Amount	Related Document	Direction	Date	Ref Amount	Related Document	Date	Ref Amount	Related Document
01/20/10	\$814,726.00	DA 10AS0442392	Forward						
01/14/10	\$561,667.00	DA 10AS0441256	Forward						
01/12/10	\$571,834.00	DA 10AS0440442	Forward						
01/06/10	\$658,963.00	DA 10AS0439371	Forward						
12/24/09	\$12,674.00	DA 10AS0437526	Forward						
12/23/09	\$12,864.00	DA 10AS0437122	Forward						
12/10/09	\$317,082.00	DA 10AS0433996	Forward						
11/23/09	\$17,664.00	DA 10AS0430202	Forward						
11/19/09	\$503,609.00	DA 10AS0429436	Forward						
10/21/09	\$12,052.69	DA 10AS0422405	Forward						
10/21/09	\$10,475.00	DA 10AS0422404	Forward						
10/07/09	\$286,024.00	DA 10AS0419347	Forward						
09/22/09	\$26,419.44	DA 09AS0415567	Forward						
09/18/09	\$18,000.00	DA 09AS0414848	Forward						
08/24/09	\$28,564.02	DA 09AS0408909	Forward						
05/08/09	\$94,784,600.00	RQ 0903LL0133	Back						

[Warehouse Homepage](#)

[EPA @ Work Home | EPA Internet](#)

http://V1742TRTAY003.aa.ad.epa.gov/ifmshist/ifms_web.finance_result

This web page was last updated on 08/12/2012.

This data was last updated on 01/31/2012 02:18

This page coordinated by: [Thomas Ngo](#)

AUG 12 2011



To:
Cc:
Bcc:
Subject: Interim FFR 2W-240002-09 MD Dept of the Environment

SUBJECT: Transmittal of Interim Federal Financial Report (FFR)

FROM: Grants Management Office (3PM70)

TO: **Magdalene Cunningham**
3WP21
Project Officer

Attached for your information is an interim FFR for subject grant. Should you have any questions concerning this report, please contact Doug Roberts, Grants Management Specialist, at 4-5279

Attachment

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted United States Environmental Protection Agency	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <div style="text-align: center; font-weight: bold; font-size: 1.2em;">2W-24000209</div>	Page <div style="border: 1px solid black; width: 20px; height: 20px; display: inline-block;"></div> of <div style="border: 1px solid black; width: 20px; height: 20px; display: inline-block;"></div> <div style="text-align: center;">1 pages</div>
---------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Recipient Organization (Name and complete address including Zip code)

Maryland Department of the Environment
1800 Washington Blvd.
Baltimore, MD 21230

4a. DUNS Number 169640062	4b. EIN 52-6002033	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) 351	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
8. Project/Grant Period From: (Month, Day, Year) 4/1/2009		To: (Month, Day, Year) 12/31/2012	9. Reporting Period End Date (Month, Day, Year) 6/30/2011	

10. Transactions		Cumulative																											
<i>(Use lines a-c for single or multiple grant reporting)</i>																													
Federal Cash (To report multiple grants, also use FFR Attachment):																													
a. Cash Receipts																													
b. Cash Disbursements																													
c. Cash on Hand (line a minus b)																													
<i>(Use lines d-o for single grant reporting)</i>																													
Federal Expenditures and Unobligated Balance:																													
d. Total Federal funds authorized		\$94,784,600.00																											
e. Federal share of expenditures		\$75,243,007.63																											
f. Federal share of unliquidated obligations																													
g. Total Federal share (sum of lines e and f)		\$75,243,007.63																											
h. Unobligated balance of Federal funds (line d minus g)		\$19,541,592.37																											
Recipient Share:																													
i. Total recipient share required																													
j. Recipient share of expenditures																													
k. Remaining recipient share to be provided (line i minus j)																													
Program Income:																													
Total Federal program income earned																													
m. Program income expended in accordance with the deduction alternative																													
n. Program income expended in accordance with the addition alternative																													
o. Unexpended program income (line i minus line m or line n)																													
11. Indirect Expense	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>a. Type</th> <th>b. Rate</th> <th>c. Period From</th> <th>Period To</th> <th>d. Base</th> <th>e. Amount Charged</th> <th>f. Federal Share</th> </tr> </thead> <tbody> <tr> <td>Fixed</td> <td>24.18%</td> <td>7/1/2009</td> <td>6/30/2010</td> <td>61330.13</td> <td>\$14,829.62</td> <td>\$14,829.62</td> </tr> <tr> <td>Fixed</td> <td>25.74%</td> <td>7/1/2010</td> <td>6/30/2011</td> <td>230520.39</td> <td>\$59,335.96</td> <td>\$59,335.96</td> </tr> <tr> <td colspan="5" style="text-align: right;">g. Totals:</td> <td style="text-align: right;">\$74,165.58</td> <td style="text-align: right;">\$74,165.58</td> </tr> </tbody> </table>	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	Fixed	24.18%	7/1/2009	6/30/2010	61330.13	\$14,829.62	\$14,829.62	Fixed	25.74%	7/1/2010	6/30/2011	230520.39	\$59,335.96	\$59,335.96	g. Totals:					\$74,165.58	\$74,165.58
a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share																							
Fixed	24.18%	7/1/2009	6/30/2010	61330.13	\$14,829.62	\$14,829.62																							
Fixed	25.74%	7/1/2010	6/30/2011	230520.39	\$59,335.96	\$59,335.96																							
g. Totals:					\$74,165.58	\$74,165.58																							

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official <div style="text-align: center;">Jag Khuman, Director</div>	c. Telephone (Area code, number and extension) <div style="text-align: right;">410-537-3981</div>
b. Signature of Authorized Certifying Official 	d. Email address <div style="text-align: right;">jkhuman@mde.state.md.us</div>
e. Date Report Submitted (Month, Day, Year) <div style="text-align: right; font-size: 1.5em; font-weight: bold;">AUG 08 2011</div>	

14. Agency use only:
 Federal Share Calculation 100.00%
 Standard Form 425
 OMB Approval Number: 0348-0061
 Expiration Date: 10/31/2011

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection